Authenticated Denial of Existence in the DNS — OBSOLETE BY VERSION v2

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Abstract

Authenticated denial of existence allows a resolver to validate that a certain domain name does not exist. It is also used to signal that a domain name exists, but does not have the specific RR type you were asking for. This document attempts to answer two simple questions.

When returning a negative DNSSEC response, a name server sometimes includes up to two NSEC records. With NSEC3 the maximum amount is three.

• Why do you need up to two NSEC records?
• And why does NSEC3 sometimes requires an extra record?

The answers to the questions hinges on the concept of wildcards and the closest encloser. With NSEC, the name that is the closest encloser is implicitly given in the record that also denies the existence of the domain name. With NSEC3, due to its hashing, this information has to be given explicitly to a resolver. It needs one record to tell the resolver the closest encloser and then another to deny the existence of the domain name. Both NSEC and NSEC3 may need yet another record to deny or assert a wildcard presence at the closest encloser name. This results in a maximum of two NSEC and three NSEC3 records, respectively.

Introduction

DNSSEC can be somewhat of a complicated matter, and there are certain corners of the specification that are more difficult to comprehend than others. One such corner is “authenticated denial of existence”.

Authenticated denial of existence allows a DNSSEC enabled resolver to validate that a certain domain name does not exist. It is also used to signal that a domain name exists, but does not have the specific RR type you were asking for.

In this document we will explain how authenticated denial of existence works. We begin by explaining the current technique in the DNS and work our way up to DNSSEC. We explain the first steps taken in DNSSEC and describe how NXT, NSEC and NSEC3 work. NSEC2 and DNSNR also briefly make their appearance, as they have paved the way for NSEC3.

To complete the picture we also need to explain DNS wildcards as it complicates matters.

Note: In this document domain names in zone file examples will have a trailing dot, in the running text they will not. This text is written for people who have a fair understanding of
DNSSEC. This document currently does not explain NSEC3 opt-out and secure delegations. This may be added in a future revision.

The following RFCs are not required reading, but they might help in understanding the problem space.

- RFC 5155 - Hashed Authenticated Denial of Existence;
- RFC 4592 - The Role of Wildcards in the DNS.

And these provide some general DNSSEC information.

- RFC 4033, RFC 4034, RFC 4035 - DNSSEC Spec;
- RFC 4956 - DNS Security (DNSSEC) Opt-In. This RFC has the status experimental, but is a good read.

And these two drafts give some background information on the NSEC3 development.

- tools.ietf.org/html/draft-laurie-dnsext-nsec2v2–00;

Denial of Existence

We start with the basics and take a look at NXDOMAIN handling in the DNS. To make it more visible we are going to use a small DNS zone, with 3 names (example.org, a.example.org and d.example.org) and 3 types (SOA, A and TXT). For brevity the class is not shown (defaults to IN), the NS records are left out and the SOA and RRSIG record are shortened. Resulting in the following unsigned zone file:

```
example.org. SOA ( ... )
a.example.org. A 127.0.0.1 TXT "a record"
d.example.org. A 127.0.0.1 TXT "d record"
```

NXDOMAIN

If a resolver asks for the TXT type belonging to a.example.org to the name server serving this zone, it sends the following question: a.example.org TXT

The name server looks in its zone data and generates an answer. In this case a positive one: “Yes it exists and this is the data”, resulting in this reply:

```
;; status: NOERROR, id: 28203
;; ANSWER SECTION:
a.example.org. TXT "a record"
;; AUTHORITY SECTION:
example.org. NS ns1.example.org.
```

The status: NOERROR signals that everything is OK, id is an integer used to match questions and answers. In the ANSWER section we find our answer. The AUTHORITY section holds information of the name servers that have information concerning the example.org domain.

If a resolver now asks for b.example.org TXT it gets an answer that this name does not exist:

```
;; status: NXDOMAIN, id: 7042
;; AUTHORITY SECTION:
example.org. SOA ( ... )
```

In this case we do not get an ANSWER section and the status is set to NXDOMAIN. From this the resolver concludes b.example.org does not exist.

NODATA

It is important to realize, that NXDOMAIN is not the only type of does-not-exist. A name may exist, but the type you are asking for may not. This occurrence of non-existence is called a NODATA response. Lets ask our name server for a.example.org AAAA, and look at the answer:

```
;; status: NOERROR, id: 7944
;; AUTHORITY SECTION:
example.org. SOA ( ... )
```

The status is NOERROR meaning that the a.example.org name exists. But the reply does not contain an ANSWER section. Instead it has an AUTHORITY section which holds the SOA record of example.org. The resolver has to put these pieces of information together and conclude that a.example.org exists, but it does not have an AAAA record.

Secure Denial of Existence

The above has to be translated to the security aware world of DNSSEC. But there are a few requirements DNSSEC brings to the table:
1. There is no online signing defined in DNSSEC. Although a name server is free to compute the answer and signature(s) on-the-fly - PowerDNSSEC does this for instance - the protocol is written with a “first sign”, “then load” attitude in mind ¹.

2. The DNS packet header is not signed. This means that a status: NXDOMAIN cannot be trusted. In fact the entire header may be forged, including the AD ² bit, which may give some food for thought;

3. DNS wildcards complicate matters. More about this in later sections.

The first requirement implies that all denial of existence answers need to be pre-computed, but it is impossible to pre-compute (all conceivable) non-existence answers. In the example above, you need a way to tell somebody who is asking for b.example.org that it does not exist without using the name b.example.org in the answer. This has been solved by introducing a record that defines an interval between two existing names. Or to put it another way: it defines the holes (non-existing names) in the zone. This record can be signed beforehand and given to the resolver.

Given all these troubles why didn’t the designers of DNSSEC went for the (easy) route and allowed for online signing? Well, at the time (pre 2000), online signing was not feasible with the current hardware. Keep in mind that the larger servers get between 2000 and 6000 queries per second (qps), with peaks up to 20,000 qps or more. Scaling signature generation to these kind of levels is always a challenge. Another issue was (and is) key management, for online signing to work you need access to the private key(s). This is considered a security risk.

The road to the current solution (NSEC/NSEC3) was long. It started with the NXT (next) record, which was superseded by NSEC (next secure) record. From there it went through NSEC2/DNSNR to finally reached NSEC3 (next secure, version 3) in RFC 5155.

¹It is rather asymmetrical, but a lot of the design in DNSSEC stems from fact that you need to accommodate authenticated denial of existence. If the DNS didn’t have NXDOMAIN, DNSSEC would be a lot simpler, but a lot less useful!

²AD stands for Authenticated Data, see RFC 3655[11].

### NXT

The first attempt to specify authenticated denial of existence was NXT (RFC 2535[5]). Section 5.1 of that RFC introduces the record:

```
The NXT resource record is used to securely indicate that RRs with an owner name in a certain name interval do not exist in a zone and to indicate what RR types are present for an existing name.
```

By specifying what you do have, you implicitly tell what you don’t have. NXT is superseded by NSEC. In the next section we explain how NSEC (and thus NXT) works.

### NSEC

In RFC 3755[10] all the DNSSEC types were given new names, SIG was renamed RRSIG, KEY became DNSKEY and NXT was simply renamed to NSEC and a few, minor issues were fixed in the process.

Just as NXT, NSEC is used to describe an interval between names: it indirectly tells a resolver which names do not exist in a zone.

For this to work, we need our example.org zone to be sorted in canonical ordering (see RFC 4034, Section 6.1), and then create the NSECs. We add three NSEC records, one for each name, and each one “covers” a certain interval. The last NSEC record points back to the first as required by the RFC.

1. The first NSEC covers the interval between example.org and a.example.org;
2. The second NSEC covers: a.example.org to d.example.org;
3. The third NSEC points back to example.org, and covers d.example.org to example.org (i.e. the end of the zone).

As we have defined the intervals and put those in resource records, we now have something that can be signed. This signed zone is loaded into the name server. It looks like this:

```
example.org. SOA ( ... )
DNSKEY ( ... )
NSEC a.example.org. SOA NSEC DNSKEY RRSIG
RRSIG(SOA) ( ... )
RRSIG(DNSKEY) ( ... )
RRSIG(NSEC) ( ... )
a.example.org. A 127.0.0.1
TXT "a record"
```

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If a DNSSEC aware resolver asks for b.example.org, it gets back a status: NXDOMAIN packet, which by itself is meaningless as the header can be forged. To be able to securely detect that b does not exist, there must also be an NSEC record which covers the name space where b lives:

a.example.org. NSEC d.example.org.

does just do that, b should come after a, but the next owner name is d.example.org so, b does not exist.

Only by making that calculation, can a resolver conclude that the name b does not exist. If the signature of the NSEC record is valid, b is proven not to exist. We have: authenticated denial of existence.

By understanding NSEC records, you have mastered the basics of authenticated denial of existence.

But there were two issues with NSEC (and NXT). The first is that it allows for zone walking. NSEC records point from one name to another, in our example: example.org, points to a.example.org which points to d.example.org which points back to example.org. So we can reconstruct the entire example.org zone even when zone transfers (AXFR) on the server are denied.

The second issue is that when a large, delegation heavy, zone deploys DNSSEC, every name in the zone gets an NSEC plus RRSIG. This leads to a huge increase in the zone size (when signed). This would in turn mean that operators of large zones (or with a lot of zones) who are deploying DNSSEC, face up front costs. This could hinder DNSSEC adoption.

These two issues eventually lead to NSEC3 which:

- Adds away to garble the next owner name, thus thwarting zone-walking;
- Makes it possible to skip names for the next owner name.

This feature is called opt-out. It means not all names in your zone get an NSEC3 plus ditto signature, making it possible to “grow into” your DNSSEC deployment. Describing opt-out is (currently) out of scope for this document. For those interested, opt-out is explained in RFC 4956[4], which is curiously titled “(DNSSEC) Opt-In”. Later this is incorporated into RFC 5155.

But before we delve in to NSEC3 lets first take a look at its predecessors, NSEC2 and DNSNR.

NSEC2 and DNSNR

NSEC2 was introduced as a way to solve the two issues of NSEC. The NSEC2 draft contains the following paragraph:

This document proposes an alternate scheme which hides owner names while permitting authenticated denial of existence of non-existent names. The scheme uses two new RR types: NSEC2 and EXIST.
When an authenticated denial of existence scheme starts to talk about \texttt{EXIST} records it is worth paying extra attention. NSEC2 solved the zone walking issue, by hashing (with SHA1 and a salt) the “next owner name” in the record, thereby making it useless for zone walking.

But it did not have opt-out. Although promising, the proposal didn’t make it because of issues with wildcards and the odd \texttt{EXIST} resource record.

The DNSNR RR was another attempt that used hashed names to foil zone walking and it also introduced the concept of opting out (called “Authoritative Only Flag”) which limited the use of DNSNR in delegation heavy zones. This proposal didn’t make it either, but it provided valuable insights into the problem.

NSEC3

From the experience gained with NSEC2 and DNSNR, NSEC3 was forged. It incorporates both opt-out and the hashing of names. NSEC3 solves any issues people might have with NSEC, but it introduces some additional complexity.

NSEC3 did not supersede NSEC, they are both defined for DNSSEC. So DNSSEC is blessed with two different means to perform authenticated denial of existence: NSEC and NSEC3. In NSEC3 every name is hashed, including the owner name. SHA1 is used for the hashing. To make it even more difficult to retrieve the original names, the hashing can be repeated several times each time taking the previous hash as input. To thwart rainbow table attacks, a custom salt is also added. In the NSEC3 for example.org we have hashed the names twice and use the salt \texttt{DEAD}. Let's look at typical NSEC3 record:

```
15BG9L6359F5CH23E34DDUA6N1RIHL9H.example.org. (NSEC3 1 0 2 DEAD 22670TRPLHSR72PQMDLTTG1KDQEOLEB7 NS SOA RRSIG DNSKEY NSEC3PARAM )
```

On the first line we see the hashed owner name: 15BG9L6359F5CH23E34DDUA6N1RIHL9H.example.org, this is the hashed name of example.org. Note that even though we hashed example.org, the zone's name is added to make it look like a domain name again. So un-hashed it sort of looks like: SHA1(example.org).example.org.

The next owner name (line 2) is hashed to: 22670TRPLHSR72PQMDLTTG1KDQEOLEB7. Note that .example.org is not added to the next owner name, as this name always falls in the current zone.

The “1 0 2 DEAD” section of the NSEC3 states:

- Hash Algorithm = 1 (SHA1);
- Opt Out = 0 (disabled);
- Hash Iterations = 2;
- Salt = “DEAD”.

At the end we see the type bit map which is identical to NSEC’s bit map. Names like a.b.c.example.org hash to one label in NSEC3, a.b.c.example.org becomes: 6LQ0TB0E2R9ANZ2AT70K500RCG.example.org when used as a owner name.

This is an important observation. By hashing the names you loose the depth of a zone - hashing introduces a flat space of names. As opposed to NSEC.

Slaving an NSEC3 Zone

A secondary servers slaving a zone with NSEC3 records need to find out the specifics (hash iterations and salt) to be able to generate its own NSEC3 records.

To do this it could scan the zone during the AXFR for NSEC3 records and glance the NSEC3 parameters from them. However, it would need to make sure that there is at least one complete set of NSEC3 records for the zone using the same parameters. Therefore, it would need to inspect all NSEC3 records.

A more graceful solution was designed. This solution was to create a new record, NSEC3PARAM, which must be placed at the apex of the zone. Its sole role is to provide a single, fixed place where a secondary name server can directly see the NSEC3 parameters used. If NSEC3 were designed in the early days of DNS (+/- 1985) this information was probably put in the SOA record.

Wildcards in the DNS

In the above sections we haven’t revealed the entire story. There is a complication: wildcards. Wildcards have been part of the DNS since the first DNS RFCs. They allow to define all names for a certain type in one go. In our example.org zone we could for instance include a wildcard record:

```
*.example.org. TXT "wildcard record"
```

If a resolver asks for z.example.org TXT, the name server will respond with an expanded wildcard, instead of an NXDOMAIN:

```
:: status: NOERROR, id: 13658
:: ANSWER SECTION:
z.example.org. TXT "wildcard record"
```
Note however that the resolver cannot detect that this answer came from a wildcard. It just sees the answer as-is. How will this answer look with DNSSEC?

;; status: NOERROR, id: 51790

;; ANSWER SECTION:
z.example.org. TXT "wildcard record"

;; AUTHORITY SECTION:
*.example.org. NSEC example.org. A TXT RRSIG NSEC
*.example.org. RRSIG(NSEC) ( ... )

The NSEC in the AUTHORITY section of the answer above indicates there is a wildcard configured in this zone. In this case it is easy to spot because the owner name of the NSEC is *.example.org.3

One thing you should notice is that this reply has an NSEC record in it even though it is not an NXDOMAIN or NODATA reply. In this case it is there to tell the resolver this answer was synthesized from a wildcard.

In the reply above we see that z.example.org was generated via wildcard expansion. The DNSSEC standard mandates that an NSEC (or NSEC3) is included in such responses. If it didn’t, an attacker could poison the cache with false data.

Suppose that the resolver would have asked for a.example.org TXT, an attacker could modify the packet in such way that it looks like the response was generated through wildcard expansion, even though there exists a record for a.example.org TXT:

a.example.org. TXT "a record"

The tweaking simply consists of adjusting the ANSWER section to:

;; status: NOERROR, id: 31827

;; ANSWER SECTION
a.example.org. TXT "wildcard record"
a.example.org. RRSIG(TXT) ( ... )

Which would be a perfectly valid answer if we would not require the inclusion of a NSEC or NSEC3 record in the wildcard answer response. The resolver believes that a.example.org TXT is a wildcard record, and the real record is obscured. This is bad and defeats all the security DNSSEC can deliver. Because of this, the NSEC or NSEC3 should be present.

Thus a resolver can detect such a spoofing attempt:

1. If the NSEC(3) is not present, assume the answer is spoofed;
2. If the NSEC(3) is there, check it. If the signature is not correct, assume a spoofed answer.

Another way of putting this is that DNSSEC is there to ensure the name server has followed the steps as outlined in RFC1034[8] Section 4.3.2 for looking up names in the zone. It explicitly lists wildcard lookup as one of these steps (3c), so with DNSSEC this must be communicated to the resolver: hence the NSEC(3) record.

With NSEC the maximum number of NSEC records a resolver can get back is two: one for the negative answer and another one for the wildcard. We say maximum, because sometimes a single NSEC can prove both. With NSEC3 it is three, as to why, we will explain in the next section.

Returning Three NSEC3s

With NSEC3 matters are even more complicated. So we have an NSEC3 that denies the existence of the requested name and an NSEC3 that denies wildcard synthesis. What do we miss? The short answer is that due to the hashing in NSEC3 you lose the depth of your zone: everything is hashed into a flat plain. To make up for this loss of information you need an extra record. The more detailed explanation is quite a bit longer…

To understand NSEC3 we will need two definitions:

Closest encloser: Introduced in RFC4592[7], this is the first existing name in the zone that is an ancestor of the name used in the query. Suppose the query name is x.2.example.org then example.org is the closest encloser in our example;

Next closer name: Introduced in the NSEC3 RFC, this is the closest encloser with one more label added to the left. So if example.org is the closest encloser for the query name x.2.example.org, 2.example.org is the next closer name.

A NSEC3 closest encloser proof consists of:
1. An NSEC3 RR that matches the closest encloser. This means the un-hashed owner name of the record is the closest encloser. This bit of information tells a resolver: “The name you are asking for does not exists, the closest I have is this”.

2. An NSEC3 RR that covers the next closer name. This means it defines an interval in which the next closer name falls. This tells the resolver: “The name in your question falls in this interval, and therefore the name in your question does not. In fact, the closest encloser is indeed the closest I have”.

Take the following example. We have our zone, but now with the following two records and it is signed with NSEC3:

1.1.example.org. TXT "1.1 record"
3.3.example.org TXT "3.3 record"

The resolver asks the following: x.2.example.org TXT. This leads to an NXDOMAIN response from the server, which contains two NSEC3 records:

15BG9L6359F5CH23E34DDUA6N1RIHL9H.example.org. (NSEC3 1 0 2 DEAD 3GM0KCUB31AMS6SKFK1DKIE7HP1PB NS SOA RRSIG DNSKEY NSEC3PARAM )
75B91D679QQ0V6LDHFQ80CH55SBB6J0Q.example.org. (NSEC3 1 0 2 DEAD 8555T7QEGAUT7P7JK5NBCH4TD0JMNJ )

If we would follow the NSEC approach, the resolver is only interested in one thing. Does the hash of x.2.example.org fall in any of the intervals of the NSEC3 records it got?

The hash of x.2.example.org is NDU6GD5TE5OPRA4IFQVR1VY31G00I21I. Checking this hash on the first NSEC3 yields that it does not fall in between the interval: 15BG9L6359F5CH23E34DDUA6N1RIHL9H and 3GM0KCUB31AMS6SKFK1DKIE7HP1PB.

For the second NSEC3 the answer is also negative: the hash sorts outside the interval described. What is a resolver to do?

It has been given two NSEC3 and both seem useless.

A question that you might have at this point is why doesn’t the server send a NSEC3 that covers the hash of x.2.example.org, so the resolver can validate in one step?

The reason for that this does not work is that with NSEC3 we have lost the depth of the zone. Because there might be one or more labels between the closest encloser and the name from the question you have to prove that the closest encloser asserted is really the closest you can get. Only at that level, can we assert or deny the presence of a wildcard record. With NSEC, that closest encloser is always implicitly given in the record that denies the name from the question.

This is where the closest encloser proof comes into play. And for the proof to work, the resolver needs to know what the closest encloser is. There must be an existing ancestor in zone: a name must exist that is shorter than the query name. The resolvers keeps hashing, increasingly shorter names from the query name until an owner name of a NSEC3 matches. This owner name is the closest encloser.

When the resolver has found the closest encloser, the next step is to construct the next closer name. This is the closest encloser with the last chopped label from qname prepended to it: ":<last chopped label>.<closest encloser>". The hash of this name should be covered by the interval set in the other NSEC3 record.

Then the resolver needs to check the presence of a wildcard. It creates the wildcard name by prepending the wildcard label to the closest encloser: "*.<closest encloser>", and use the hash of that.

Going back to our example the resolver must first detect the NSEC3 that matches the closest encloser. It does this by chopping up the query name, hashing each instance (with the same number of iterations and hash as the zone it is querying) and comparing that to the answers given. So it has the following hashes to work with:

- x.2.example.org: NDTU6GD5TE5OPRA4IFQVR1V31G00I21I, last chopped label: "<empty>";
- 2.example.org: 7T7ODR4EK28V93QGJB3PA7VL0Q, last chopped label: "x";
- example.org: 15BG9L6359F5CH23E34DDUA6N1RIHL9H, last chopped label: "2";
- org: B7ID5C04KP39JL6GCH2Q2M36ABG4F0C, last chopped label "example";
- .: BH3S9AFOU5K41TIJF5HS8IS9HR2QJEU8, last chopped label "org".

Of these hashes only one matches the owner name of one of the NSEC3 records: 15BG9L6359F5CH23E34DDUA6N1RIHL9H. This is the closest encloser (un-hashed: example.org). That's

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4These records are empty non-terminals, but that is irrelevant.
the main purpose of that NSEC3 record: tell the resolver what the closest encloser is.

From that knowledge the resolver constructs the next closer, which in this case is: 2.example.org; 2 is the last label chopped, when example.org is the closest encloser. The hash of this name is covered in the other NSEC3. And it is, 7770DR64EKC228V93Q7GWBLEOAPA7VLP6Q falls in the interval set by: 7589ID679QQV6LDFHD80CH555S6JQV and 8555T7QGEAU7PJTNSBCHQ4TD2M0JNPJ.

So what does the resolver learn from this?

1. example.org exists;
2. 2.example.org does not exist.

And if 2.example.org does not exist, x.2.example.org also does not exist. But only if there was no wildcard configured. So this is the last step: check if there is a wildcard configured at the closest encloser.

The resolver hashes *.example.org to 22670TRPLHSR72PQOMEDLTG1KDOE0LB7. This hash is covered by the same NSEC3 record that matches the closest encloser: the hash falls in the interval set by 15B69L659FSCH23E34DDU6N1R1L0H and 3GM0KICUB31AMS6S5FK1DKIE7HP1PB. This means there is no wildcard at the closest encloser and x.2.example.org definitely does not exist.

When we have validated the signatures, we reached our goal: authenticated denial of existence.

Coming back to the original question: why do we need (up to) three NSEC3 records? The resolver needs to be explicitly told what the closest encloser is and this takes up a full NSEC3 record. Then the next closer name needs to be covered in a NSEC3 record, and finally a NSEC3 must say something about the wildcard. That makes three records.

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References